

**SinoPac Financial Holdings Company Limited**  
**Annual Report of Implementing**  
**Intellectual Property Management Project**

**1. The Report of Intellectual Property Management Project**

The matters related to management of intellectual property were reported to the Board of Directors' meeting on December 22, 2023.

Our company has been focusing on intellectual property protection since 2007, and has gradually applied for new trademark registrations, formulated relevant specifications, conducted our own search, application, and analysis for our patent rights, and implemented intellectual property management system for these years. The status of recent years is listed below:

- (1) In 2020, our company produced the FinTech patent strategy report; formulated, announced and implemented intellectual property management system; implemented company-wide intellectual property training, and advanced training for the authorized personnel of patent right management and R&D personnel; and applied for Taiwan Intellectual Property Management System (TIPS) (2016 version) A-level certification and obtained the certification. We are the first financial holding company which obtained TIPS A-level certification.
- (2) In 2021, our company revised, announced, and implemented intellectual property management system; implemented company-wide intellectual property training, and advanced training for the authorized personnel of intellectual property management and R&D personnel; reviewed the implementation of the intellectual property management system; and applied for Taiwan Intellectual Property Management System (TIPS) (2016 version) A-level certification and received the notice of obtaining the certification on November 15, 2021.

- (3) In 2022, our Company reviewed the implementation of the intellectual property management system and successfully passed the sampling review conducting by the Institute for Information Industry on June 8; revised the intellectual property management system and related documents and announced their implementation following the recommendations of the Institute for Information Industry; conducted “Trademark Act” and “Patent Act” amendment tracking; implemented advanced training on intellectual property management for R&D personnel, and conducted self-assessment.
- (4) From April to June 2023, our company implemented company-wide intellectual property training explaining the company’s intellectual property management policy and goals for the current year and intellectual property related regulations to improve the employee’s awareness about intellectual property management, and advanced training for the authorized personnel of intellectual property management and R&D personnel to explain the judgement basis for trademark and patent infringement with relevant case study; and disseminated the related regulation amendments including “Intellectual Property Case Adjudication Act”, “Enforcement Rules of the Patent Act” and “ Trademark Act” etc.
- (5) From June to September 2023, we conducted self-assessment to assure the implementation of intellectual property management system and finished the self-assessment report; and convened management review meeting; applied for Taiwan Intellectual Property Management System (TIPS) (2016 version) A-level certification and received the notice of obtaining the certification on September 14, 2023.
- (6) From November to December 2023, our company revised the intellectual property management system and related documents and announced their implementation

following the recommendations of the Institute for Information Industry.

## **2. The List of Intellectual Property**

The most updated lists of intellectual property obtained are as follows:

As of December 22, 2023, our company has applied for eight (8) patent applications in Taiwan, America, and Hong Kong, and five (5) of the applications has been approved, and three (3) of the applications are under review. There are fifty-seven (57) valid trademarks in Taiwan, fifty-eight (58) valid trademarks in China, nine (9) valid trademarks in Hong Kong, nine (9) valid trademarks in England, fifteen (15) valid trademarks in Macao and seven (7) valid trademarks in Vietnam.

## **3. The overall benefits of the implementing the intellectual property management project are as follows:**

### **(1) Enhance the quality of intellectual property.**

To enhance the quality of patent and trademark application, we conducted patent search domestically and internationally prior to filing applications. Our company completed two (2) patent search cases in 2023, discussed relevant previous cases with R&D personnel. There are three (3) patent rights approval in 2023.

### **(2) Reduce operating risk.**

By formulating the management rules and advocacy of the record platform, the company has improved the management of R&D records. In 2023, there were no reveals of confidential information from the R&D division, which effectively reduced operational risks.

### **(3) Enhance the quality of corporate governance.**

By implementing the intellectual property management system, our company standardized the operation process of intellectual property, actively enhanced employees' risk management and rights awareness of intellectual property and disclosed the status and outcome of implementing intellectual property management in time on the website of our company.

### **(4) Maintain the intellectual property rights.**

By establishing the intellectual property management system, our company resolved patent and trademark infringement incidents more efficiently upon receiving reports from other divisions.

**(5) Improvement of intellectual property management system**

SinoPac Holdings has implemented the Taiwan Intellectual Property Management System (TIPS), established intellectual property management policies and goals, and maintenance of intellectual property rights, promoted confidential management measures for personnel, documents, equipment and the environment, provided intellectual property education and training for all employees, set up a dedicated unit to handle intellectual property consultation and dispute handling mechanisms, and held regular intellectual property management review meetings to evaluate the results of intellectual property management.

**Certification Status**

In September 2021, our company applied for Taiwan Intellectual Property Management System (TIPS) (2016 version) A-level certification and received the notice of obtaining the certification on November 15, 2021. The scope of the certification included patents and trademarks. The certification will be valid for two (2) years from the announcement of the result (to December 31, 2023). To extend the validity period of the verification, we applied for Taiwan Intellectual Property Management System (TIPS) (2016 version) A-level certification at the end of August and received the notice of obtaining the certification on September 14, 2023. The certification will be valid for two (2) years from the announcement of the result (to December 31, 2025).