

SinoPac Financial Holdings Company Limited
Annual Report of Implementing
Intellectual Property Management Project

1. The Report of Intellectual Property Management Project

The matters related to management of intellectual property were reported to the Board of Directors' meeting on December 24, 2021, and our company also made improvement according to the proposals of the directors.

Our company has been focusing on intellectual property protection since 2007, and has gradually applied for new trademark registrations, formulated relevant specifications, conducted our own search, application and analysis for our patent rights, and implemented intellectual property management system for these years. The status of recent years is listed below:

- (1) From 2007 to 2008, we respectively applied for trademark registrations of the main identification marks, texts and graphics of our company;
- (2) In 2016, as our company developed our major corporate internal websites, we applied for "Sino Cloud" trademarks, including the main identification marks, texts and graphics;
- (3) In 2019, according to our company's business development strategy, we applied for trademark registrations of more identification marks, texts and graphics of our company more thoroughly to expand the scope of trademark layout;
- (4) In 2020, our company produced the FinTech patent strategy report; formulated, announced and implemented intellectual property management system; implemented company-wide intellectual property training, and advanced training for the authorized personnel of patent right management and R&D personnel; and applied for Taiwan Intellectual Property Management System(TIPS) (2016 version) certification and

obtained A-level certification. We are the first financial holding company which obtained TIPS A-level certification;

(5) In December 2020, our company formulated, announced and implemented intellectual property management system;

(6) In 2021, our company revised, announced and implemented intellectual property management system; implemented company-wide intellectual property training, and advanced training for the authorized personnel of intellectual property management and R&D personnel;

(7) In September 2021, our company applied for Taiwan Intellectual Property Management System(TIPS) (2016 version) A-level certification and received the notice of obtaining the certification on November 15, 2021.

2. The List of Intellectual Property

The most updated lists of intellectual property obtained are as follows:

As of November 26, 2021, the company has applied for three (3) patent applications in Taiwan, one (1) of the applications has been approved, and two (2) of the applications are under review. There are fifty-seven (57) valid trademarks in Taiwan, fifty-eight (58) valid trademarks in China, nine (9) valid trademarks in Hong Kong, nine (9) valid trademarks in England, fifteen (15) valid trademarks in Macao and six (6) valid trademarks in Vietnam.

3. The overall benefits of the implementing the intellectual property management project are as follows:

(1) Increase Investment

After implementing the intellectual property management system, our company increased budget to NT\$1.44 million in 2021, which has increased by 188% compared to budget in 2020 to apply, protect and maintain our intellectual property.

(2) Enhance the quality of intellectual property

To enhance the quality of patent application, we conducted patent search domestically and internationally prior to filing applications. Our company completed two (2) patent search cases in 2021, discussed relevant previous cases with R&D personnel, and filed new patent applications.

(3) Reduce operating risk

By formulating the management rules and advocacy of the record platform, the company has improved the management of R&D records. In 2021, there were no reveals of confidential information from the R&D division, which effectively reduced operational risks.

(4) Enhance the quality of corporate governance

By implementing the intellectual property management system, our company standardized the operation process of intellectual property, actively enhanced employees' risk management and rights awareness of intellectual property and disclosed the status and outcome of implementing intellectual property management in time on the webpage of our company.

(5) Maintain the intellectual property rights

By establishing the intellectual property management system, our company resolved trademark infringement incidents more efficiently upon receiving reports from other divisions.

Certification Status

In September 2021, our company applied for Taiwan Intellectual Property Management System (TIPS) (2016 version) A-level certification and received the notice of obtaining the certification on November 15, 2021. The scope of the certification included patents and trademarks. The certification will be valid for two (2) years from the announcement of the result (to December 31, 2023).